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**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James M. Derderian

Serial No.: 09/939,253

Filed: August 24, 2001

**For:** SEMICONDUCTOR DEVICES AND SEMICONDUCTOR DEVICE

ASSEMBLIES INCLUDING A NONCONFLUENT SPACER LAYER

(AMENDED)

Confirmation No.: 2189

Examiner: A. Williams

**Group Art Unit: 2826** 

Attorney Docket No.: 2269-4830US

CERTIFICATE OF MAILING

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a). The listed documents were cited by the Office in co-pending application Serial No. 10/225,973, filed on August 22, 2002, and directed to a related invention.

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicant herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

U.S. Patent Documents				
U.S. Patent No.	Publication Date	<u>Patentee</u>		
US - 5,037,779	August 6, 1991	Whalley et al.		
US - 5,056,215	October 15, 1991	Blanton		
US - 6,097,097	August 1, 2000	Hirose		
US - 6,534,345	March 18, 2003	Muff et al,		
US - 2001/0013643 A1	August 16, 2001	Nakanishi et al.		

Applicant offers to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits. I hereby certify that no item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the statement, and therefore no fee is due.

Respectfully submitted,

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Date: January 23, 2004

BGP/nj:dp

Enclosures: Form PTO-1449 or PTO/SB/08

Copy of documents cited

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Approved for use through 10/31/2002, OMB 0651-0031

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Stitute for form 1449A/PTO				Complete if Known		
INFO	RMATION	DISC	CLOSURE	Application Number	09/939,253	
STATEMENT BY APPLICANT		Filing Date	August 24, 2001			
			DICHNI	First Named Inventor	James M. Derderian	
				Group Art Unit	2826	
(use as many sheets as necessary)			essary)	Examiner Name	A. Williams	
Sheet	1	of	1	Attorney Docket Number	2269-4830US (01-0106.00/US)	

		Document Number	Publication Date	Name of Patentee or Applicant of	D. C.L. III NO. D.L.	
Examiner Cite Initials * No.	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-5,037,779	August 6, 1991	Whalley et al.		
		US- 5,056,215	October 15, 1991	Blanton		
		US- 6,097,097	August 1, 2000	Hirose		
		US- 6,534,345 B1	March 18, 2003	Muff et al,		
		US- 2001/0013643 A1	August 16, 2001	Nakanish et al.		
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Examiner Cite	Cite	Foreign Patent Document	ATENT DOCU	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner Signature	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.